### UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE		
Case	Date Filed	
25-CA-249759	10/10/19	

#### INSTRUCTIONS:

File an original with NLRB Regional Director for the region in	which the alleged unfair labor practice occurred or is o OYER AGAINST WHOM CHARGE IS BROUGHT	ccurring.
	STETT AGAINST WHOM STIANGE IS BROOGHT	b. Tel. No.
a. Name of Employer Green Thumb Industries (GTI) Inc.		312-471-6720
		c. Cell No.
		f. Fax. No.
d. Address (Street, city, state, and ZIP code)	e. Employer Representative	
325 W Huron Street	Matthew S. Miller	g. e-mail
Suite 412	General Counsel	matt.miller@gtigrows.com
Chicago, IL 60654		
		h. Number of workers employed 50+
i. Type of Establishment (factory, mine, wholesaler, etc.)	j. Identify principal product or service	
manufacturing	cannabis	
The above-named employer has engaged in and is engage	ing in unfair labor practices within the meaning of se	ection 8(a), subsections (1) and
(list subsections) 8(a)(1) and 8(a)(3)		bor Relations Act, and thest unfair labor
practices are practices affecting commerce within the me	aning of the Act, or these unfair labor practices affec	ting commerce within the meaning of
the Act and the Postal Reorganization Act.		
2. Basis of the Charge (set forth a clear and concise state		
Within the last six (6) months, the Employer, activ		
company in a Facebook posting due to employees'	concerted activity and support of the Teamste	ers organizing efforts at the Rock Island,
IL Facility.		
3. Full name of party filing charge (if labor organization, g. International Brotherhood of Teamsters (IBT)	ive full name, including local name and number)	
4a. Address (Street and number, city, state, and ZIP code	)	4b. Tel. No.
25 Louisiana Avenue, NW	,	202-624-8710
Washington, D.C. 20001		4c. Cell No.
		202-780-0098
		4d. Fax No.
		1
4e. e-mail		4e. e-mail
		Rhall@teamster.org
5. Full name of national or international labor organization	of which it is an affiliate or constituent unit (to be fille	ed in when charge is filed by a labor organization)
International Brotherhood of Teamsters (IBT)		
	ARATION	Tel. No.
	ve charge and that the statements by knowledge and belief.	202-624-8710
are true to the best of the		Office, if any, Cell No.
July Bell	Raven L. Hall	202-780-0098
(signature of representative or person making charge)	(Print/type name and title or office, if any)	Fax No.
25 Louisiana Avenue, NW, Washington,	D.C. 20001	
Address	Date 10/9/2019	e-mail Rhall@teamster.org

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT



SUBREGION 33 101 SW Adams St Suite 400 Peoria, IL 61602



Download NLRB Mobile App

October 11, 2019

Agency Website: www.nlrb.gov

Telephone: (309)671-7080

Fax: (309)671-7095

Matthew S. Miller, General Counsel Green Thumb Industries (GTI) Inc. 325 W. Huron Street, Suite 412 Chicago, IL 60654

Re: Green Thumb Industries Inc.

Case 25-CA-249759

Dear Mr. Miller:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Examiner TIFFANY L. MILLER whose telephone number is (309)218-1729. If this Board agent is not available, you may contact Officer In Charge NATHANIEL E. STRICKLER whose telephone number is (309)740-2102.

**Right to Representation:** You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge by October 25, 2019. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor any request to place limitations on our use of position statements or evidence beyond those prescribed by the Freedom of Information Act and the Federal Records Act. Thus, we will not honor any claim of confidentiality except as provided by Exemption 4 of FOIA, 5 U.S.C. Sec. 552(b)(4), and any material you submit may be introduced as evidence at any hearing before an administrative law judge. We are also required by the Federal Records Act to keep copies of documents gathered in our investigation for some years after a case closes. Further, the Freedom of Information Act may require that we disclose such records in closed cases upon request, unless there is an applicable exemption. Examples of those exemptions are those that protect confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Procedures:</u> We strongly urge everyone to submit all documents and other materials by E-Filing (not e-mailing) through our website, <u>www.nlrb.gov</u>. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format). If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

PATRICIA K. NACHAND Regional Director

By: /s/Nathaniel E. Strickler

NATHANIEL E. STRICKLER Officer in Charge

## Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

#### UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE	
Case	Date Filed
25-CA-249805	10/11/19

INSTRUCTIONS:

File an original with NLRB Regional Director for the region in	which the alleged unfair labor practice occurred or is occurri	ng.	
1. EMPL	OYER AGAINST WHOM CHARGE IS BROUGHT		
a. Name of Employer Green Thumb Industries (GTI), Inc.		b. Tel. No. 312-471-6720	
		c. Cell No.	
		f. Fax. No.	
d. Address (Street, city, state, and ZIP code)	e. Employer Representative	g. e-mail	
325 W Huron Street Suite 412	Matthew S. Miller General Counsel		
Chicago, IL 60654	General Counsel	matt.miller@gtigrows.com	
		h. Number of workers employed 50+	
i. Type of Establishment (factory, mine, wholesaler, etc.)	j. Identify principal product or service	<u> </u>	
manufacturing	cannabis		
The above-named employer has engaged in and is engaged	ging in unfair labor practices within the meaning of section	8(a), subsections (1) and	
(list subsections) 8(a)(1)	of the National Labor R	Relations Act, and thest unfair labor	
practices are practices affecting commerce within the mea	aning of the Act, or these unfair labor practices affecting c	ommerce within the meaning of	
the Act and the Postal Reorganization Act.			
2. Basis of the Charge (set forth a clear and concise state	ement of the facts constituting the alleged unfair labor prac-	ctices)	
Within the last six (6) months, the Employer, actin	ig through its agent and representative (b) (6), (b) (7)(C)	coercively questioned employees	
about their union activities and support of the Tear	msters' organizing efforts at the Rock Island, IL Fac	cility.	
25/200			
Full name of party filing charge (if labor organization, g. International Brotherhood of Teamsters (IBT)	ive full name, including local name and number)		
4a. Address (Street and number, city, state, and ZIP code	9)	4b. Tel. No.	
25 Louisiana Avenue, NW		202-624-8710	
Washington, D.C. 20001			
		202-780-0098	
		4d. Fax No.	
	4u. Fax Nu.		
	4e. e-mail		
		Rhall@teamster.org	
Full name of national or international labor organization	of which it is an affiliate or constituent unit /to be filled in		
	of which it is an annate of constituent unit (to be mied in v	men charge is lied by a labor organization;	
International Brotherhood of Teamsters (IBT)			
6. DECL	ARATION	Tel. No.	
I declare that I have read the abo	ve charge and that the statements	202-624-8710	
are true to the best of m	ny knowledge and belief.	Office, if any, Cell No.	
Chair Hell	Raven L. Hall	202-780-0098	
(signature of representative or person making charge)	(Print/type name and title or office, if any)	Fax No.	
25 Louisiana Avenue, NW, Washington,	10/10/2019	e-mail	
Address	Date	Rhall@teamster.org	

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT



SUBREGION 33 101 SW Adams St Suite 400 Peoria, IL 61602



Download NLRB Mobile App

October 11, 2019

Agency Website: www.nlrb.gov

Telephone: (309)671-7080

Fax: (309)671-7095

Matthew S. Miller, General Counsel Green Thumb Industries (GTI) Inc. 325 W. Huron Street, Suite 412 Chicago, IL 60654

Re: Green Thumb Industries, Inc.

Case 25-CA-249805

Dear Mr. Miller:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Examiner TIFFANY L. MILLER whose telephone number is (309)218-1729. If this Board agent is not available, you may contact Officer In Charge NATHANIEL E. STRICKLER whose telephone number is (309)740-2102.

**Right to Representation:** You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

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<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge by October 25, 2019. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor any request to place limitations on our use of position statements or evidence beyond those prescribed by the Freedom of Information Act and the Federal Records Act. Thus, we will not honor any claim of confidentiality except as provided by Exemption 4 of FOIA, 5 U.S.C. Sec. 552(b)(4), and any material you submit may be introduced as evidence at any hearing before an administrative law judge. We are also required by the Federal Records Act to keep copies of documents gathered in our investigation for some years after a case closes. Further, the Freedom of Information Act may require that we disclose such records in closed cases upon request, unless there is an applicable exemption. Examples of those exemptions are those that protect confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

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<u>Procedures:</u> We strongly urge everyone to submit all documents and other materials by E-Filing (not e-mailing) through our website, <u>www.nlrb.gov</u>. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format). If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge.

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We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

PATRICIA K. NACHAND Regional Director

By: /s/Nathaniel E. Strickler

NATHANIEL E. STRICKLER Officer in Charge

## Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

Address

# UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE		
Case	Date Filed	
25-CA-250009	9 10/16/19	

Rhall@teamster.org

<b>3.11.11.2</b>		25-CA-250009	10/16/19
INSTRUCTIONS:	which the alleged unfair labor practice of	pecurred or in occurring	
File an original with NLRB Regional Director for the region in  1. EMPL	OYER AGAINST WHOM CHARGE IS		
a. Name of Employer		b. Tel. No.	
Green Thumb Industries (GTI), Inc.		312-471-6720	
<u> </u>		c. Cell No.	
		f. Fax. No.	
d Address (Ctreet eity state and 7/8 ands)	e. Employer Representative		
d. Address (Street, city, state, and ZIP code) 325 W Huron Street	Matthew S. Miller	g. e-mail	
Suite 412	General Counsel	matt.miller	@gtigrows.com
Chicago, IL 60654			
20			orkers employed
		50+	
i. Type of Establishment (factory, mine, wholesaler, etc.)		е	
manufacturing	cannabis	282 <u> </u>	
The above-named employer has engaged in and is engaged			
(list subsections) 8(a)(1) and 8(a)(3)	7800	the National Labor Relations Act, and t	
practices are practices affecting commerce within the me	aning of the Act, or these unfair labor	practices affecting commerce within th	e meaning of
the Act and the Postal Reorganization Act.			72
properly investigate and take preventative measure disciplining in retaliation for union activities.  3. Full name of party filing charge (if labor organization, g	es and support of the Teamsters'	organizing efforts at the Rock Isla	nd, IL facility.
International Brotherhood of Teamsters	nve ran rame, mendem greed rame a		
4a. Address (Street and number, city, state, and ZIP code	e)	4b. Tel. No.	
25 Louisiana Avenue NW	-,	202-624-8710	)
Washington, D.C. 20001		4c. Cell No.	
		202-780-0098	3
		4d. Fax No.	
		4e. e-mail	
		Rhall@teamste	er org
			100 (1) (1) (1) (1) (1) (1) (1) (1) (1) (1)
5. Full name of national or international labor organization	n of which it is an affiliate or constitue	ent unit (to be tilled in when charge is liled	Dy a labor organization)
International Brotherhood of Teamsters			
DECLARATION  I declare that I have read the above charge and that the statements     are true to the best of my knowledge and belief.		Tel. No.	_
		202-624-871	0
are true to the best of t	Raven L. Ha	Office, if any, (	
Charles Tyl		202-780-009	8
(signature of representative or person making charge)	(Print/type name and title or	office, if any) Fax No.	
25 Louisiana Avenue, NW, Washington	, D.C. 20001 10/15/	e-mail	-

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT



SUBREGION 33 101 SW Adams St Suite 400 Peoria, IL 61602



Download NLRB Mobile App

October 16, 2019

Matthew S. Miller, General Counsel Green Thumb Industries (GTI), Inc. 325 W. Huron Street, Suite 412 Chicago, IL 60654

Re: Green Thumb Industries (GTI), Inc.

Agency Website: www.nlrb.gov

Telephone: (309)671-7080

Fax: (309)671-7095

Case 25-CA-250009

Dear Mr. Miller:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Examiner TIFFANY L. MILLER whose telephone number is (309)218-1729. If this Board agent is not available, you may contact Officer In Charge NATHANIEL E. STRICKLER whose telephone number is (309)740-2102.

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<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge by October 30, 2019. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

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We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

PATRICIA K. NACHAND Regional Director

By: /s/ Nathaniel E. Strickler

NATHANIEL E. STRICKLER Officer in Charge

## Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

# UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE		
Case	Date Filed	
25-CA-250241	10/21/19	

### INSTRUCTIONS:

File an original with NLRB Regional Director for the region in which the alleged unfair labor practice occurred or is occurring. 1. EMPLOYER AGAINST WHOM CHARGE IS BROUGHT a. Name of Employer b. Tel. No. Green Thumb Industries (GTI), Inc. 312-471-6720 c. Cell No. f. Fax. No. d. Address (Street, city, state, and ZIP code) e. Employer Representative g. e-mail 325 W Huron Street Matthew S. Miller Suite 412 General Counsel matt.miller@gtigrows.com Chicago, IL 60654 h. Number of workers employed 50+ i. Type of Establishment (factory, mine, wholesaler, etc.) i. Identify principal product or service manufacturing cannabis The above-named employer has engaged in and is engaging in unfair labor practices within the meaning of section 8(a), subsections (1) and of the National Labor Relations Act, and thest unfair labor (list subsections) 8(a)(1) practices are practices affecting commerce within the meaning of the Act, or these unfair labor practices affecting commerce within the meaning of the Act and the Postal Reorganization Act. 2. Basis of the Charge (set forth a clear and concise statement of the facts constituting the alleged unfair labor practices) Within the last six (6) months, the Employer, acting through its agent and representative(b) (6), (b) (7)(C) coercively questioned employees about their union activities and support of the Teamster's organizing efforts, and unlawfully polled employees to determine the extent of their support for a union at the Rock Island, IL Facility. 3. Full name of party filing charge (if labor organization, give full name, including local name and number) International Brotherhood of Teamsters (IBT) 4b. Tel. No. 4a. Address (Street and number, city, state, and ZIP code) 202-624-8710 25 Louisiana Avenue NW Washington, D.C. 20001 4c. Cell No. 202-780-0098 4d. Fax No. 4e. e-mail Rhall@teamster.org 5. Full name of national or international labor organization of which it is an affiliate or constituent unit (to be filled in when charge is filed by a labor organization) International Brotherhood of Teamsters Tel. No. 6. DECLARATION declare that I have read the above charge and that the statements 202-624-8710 are true to the best of my knowledge and belief. Office, if any, Cell No. Raven L. Hall 202-780-0098 re of representative r person making charge) (Print/type name and title or office, if any) Fax No. 25 Louisiana Avenue, NW, Washington, D.C. 20001 Date 10/18/2019 e-mail Address Rhall@teamster.org

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT



Agency Website: www.nlrb.gov Downlo

SUBREGION 33 101 SW Adams St Suite 400 Peoria, IL 61602

Agency Website: www.nirb.gov Telephone: (309)671-7080 Fax: (309)671-7095 Download NLRB Mobile App

October 22, 2019

Raven L. Hall International Brotherhood of Teamsters 25 Louisiana Ave, NW Washington, DC 20001

Re: Green Thumb Industries, Inc.

Case 25-CA-250241

Dear Ms. Hall:

The charge that you filed in this case on October 21, 2019 has been docketed as case number 25-CA-250241. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Examiner TIFFANY L. MILLER whose telephone number is (309)218-1729. If this Board agent is not available, you may contact Officer In Charge NATHANIEL E. STRICKLER whose telephone number is (309)740-2102.

**Right to Representation:** You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

Presentation of Your Evidence: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you

fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

**Procedures:** Pursuant to Section 102.5 of the Board's Rules and Regulations, parties must submit all documentary evidence, including statements of position, exhibits, sworn statements, and/or other evidence, by electronically submitting (E-Filing) them through the Agency's web site (www.nlrb.gov). You must e-file all documents electronically or provide a written statement explaining why electronic submission is not possible or feasible. Failure to comply with Section 102.5 will result in rejection of your submission. The Region will make its determination on the merits solely based on the evidence properly submitted. All evidence submitted electronically should be in the form in which it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format). If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge.

If the Agency does not issue a formal complaint in this matter, parties will be notified of the Regional Director's decision by email. Please ensure that the agent handling your case has your current email address.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

PATRICIA K. NACHAND Regional Director

By: /s/Nathaniel E. Strickler

NATHANIEL E. STRICKLER Officer in Charge